**Case Ref:** C2403

**Background of Subjects**

**Suspect 1:**

Name / HKID / Country or Region: CHAN TAI MAN (“CHAN”) / A000001(0)/HONG KONG

HASE account: 111-111111-101 (opened on 28 Jun 2012, account restriction imposed on 12 Jul 2024)

**Triggering Factors and R eview on Reported Payments**

**Intelligence 1:** C403

Source: HSBC Referral

Fraud Type: Impersonation (Others)

Alerted transaction:

**Reported transaction 1**: 10 Jul 2024, HKD1, from MISS YEUNG KAI ’s HSBC account 66666666601 to CHAN’s HASE account 111-111111-101. It can be located in banking system.

**Intelligence 2:** (C2406)

Source: Internal Referral

Fraud type: Not Provided

Same payment as reported payment 1

**KYC Review on CHAN**

|  |  |
| --- | --- |
| **Customer Background** |  |
| HKID | A000001(0) |
| Customer name | CHAN TAI MAN |
| Relationship with HASE since | 09 Apr 2001 |
| Gender | FEMALE |
| Date of birth | 02 Jan 2000 |
| Nationality (country/region/ territory) | HONG KONG |
| Occupation | GEN MANAGEMENT MGR (last updated on 17 Aug 2023) |
| Employer | ABC1 Limited |
| Address | Rm01, 1/F, 111 Argyle Street, Mong Kok, Hong Kong |
| Contact number | 10000001 (Mobile) 11000001 (Home) |
| Email | 10000001@hangseng.com |
| Monthly income | HKD14,969 (last updated on 17 Aug 2023) |
| Previous financial crime risk | No previous financial crime risk was identified. |
| Others | No CDD profile could be found on CHAN |
|  |

**Conclusion and the Way Forward on CHAN**

* Intelligence revealed that account 111-111111-101 of CHAN was involved into fraud activities as suspect account. The involved reported payments were verified and could be located in the bank system. Relatively small payment amount involved.
* CHAN is our bank long term relationship customer for 41 years without previous adverse record.
* There is no police allegation involved.

Based upon the presence of mentioned indicators, there is no enough evidence for proving the case is fraud related. Therefore, it is no financial crime risk identified on CHAN.